

Presint Asset Management, LLC (“Presint Asset Management” or the “Firm”) an investment advisor registered with the Securities and Exchange Commission (the “SEC”). The Firm manages taxable and tax-sensitive investment advisory accounts which invest in U.S. municipal securities, U.S. Government-backed securities, and short-term money market securities. Brokerage and investment advisory services and fees differ from one another, and it is important to understand these differences. There are free and simple tools available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers and investing. For additional information about Presint Asset Management, the Firm’s Form ADV 2A can be found at <https://adviserinfo.sec.gov/firm/summary/334401>.

What investment services and advice can you provide me?

The Firm provides investment advisory services to retail investors (generally high-net-worth individuals). We specialize in investment-grade separate accounts that are typically comprised of investment-grade fixed income securities. These portfolios are limited to U.S. municipal securities, U.S. Government Agency-backed securities, and short-term money market securities.

Presint Asset Management is typically granted discretionary authority, which gives the Firm the ability to make investment decisions on a client’s behalf. There are various ways in which the Firm is granted discretionary authority, but in most instances, Presint Asset Management provides discretionary investment advisory services through sub-advised relationships with other investment advisory firms, consultants and fiduciaries.

Additional information about our services, minimums and strategies is available under Items 4, 7 and 8 in our ADV Part 2A Brochure.



Ask your financial professional: Ask your financial professional: Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

Presint Asset Management receives a management fee for providing investment advisory services. We aim to keep our fees simple and fair, with fees largely reflecting the management effort required for each investment strategy and portfolio. We offer discounts based on investment breakpoints that allow lower fees for larger portfolios, providing an incentive to encourage you to increase the assets in your account. Clients working through intermediaries may qualify for these breakpoints through aggregated billing.

Fees are calculated by the Firm, accrued as a percentage of an account’s daily market value of the Assets Under Management (“AUM”) and payable monthly, in arrears. The fee will be pro-rated at each month end, based on the average daily portfolio value and billed to the client.

Presint Asset Management’s fees do not include brokerage commissions, transaction fees, service provider fees, such as custody fees, and other related costs and expenses, all of which are borne by the Firm’s clients. For example, our execution of transactions in your account typically requires payment of brokerage commissions (or a “spread” on a bond) by our clients.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Additional information about fees is available under Items 5 and 6 in our ADV Part 2A Brochure.



Ask your financial professional: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when providing recommendations as my broker-dealer or when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. For example, there can be conflicts arising out of personal trading by our employees in the same securities in which your account invests.

Please refer to our Form ADV Part 2A Brochure for more information on our conflicts of interest.



Ask your financial professional: How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals are paid a salary and can earn an annual bonus at our discretion based on contributions to and the overall profitability of Presint Asset Management.

Do you or your financial professionals have legal or disciplinary history?

No. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.



Ask your financial professional: As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional information

For more information regarding our advisory services, please visit presint.com or advisorinfo.sec.gov. For additional information, contact us at (858) 379-2236.



Ask your financial professional: Who is my primary contact person? Is he or she a representative of an investment-adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?